



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) R. K. Yang et al. Examiner: unknown  
Serial No.: 10/074,272 Group Art Unit: unknown  
Confirmation No: unknown Docket: 1199-4  
Filed: January 22, 2002 Dated: March 11, 2002  
For: THIN FILM WITH NON-  
SELF-AGGREGATING  
UNIFORM HETERO-  
GENEITY AND DRUG  
DELIVERY SYSTEMS  
MADE THEREFROM

*I hereby certify this correspondence is being deposited  
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Commissioner for Patents, Washington, D.C. 20231  
on March 11, 2002*

*Dated: 3/11/02* Linda J. Schenke

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.  
§1.56, Applicants submit herewith the following Information Disclosure Statement in  
accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

## UNITED STATES PATENTS

<u>PATENTEE</u>	<u>PATENT NO.</u>	<u>ISSUE DATE</u>
3,753,732	08/21/73	Boroshok
4,029,758	06/14/77	Mlodozieniec et al.
4,031,200	06/21/77	Reif
4,128,445	12/05/78	Sturzenegger et al.
4,136,145	01/23/79	Fuchs et al.
4,713,239	12/15/87	Babaian et al.
4,777,046	10/11/88	Iwakura et al.
4,849,246	07/18/89	Schmidt
4,876,092	10/24/89	Mizobuchi et al.
4,900,552	02/13/90	Sanvordeker et al.
5,089,307	02/18/92	Ninomiya et al.
5,234,957	08/10/93	Mantelle
5,346,701	09/13/94	Heiber et al.
5,620,757	04/15/97	Ninomiya et al.
5,629,003	05/13/97	Horstmann et al.
5,700,478	12/23/97	Biegajski et al.
5,948,430	09/07/99	Zerbe et al.
6,177,096	01/23/01	Zerbe et al.
6,231,957	05/15/01	Zerbe et al.
6,284,264	09/04/01	Zerbe et al.
0046511 A1*	11/29/01**	Zerbe et al.
RE 33,093	10/17/89	Schiraldi et al.

\* Publication

\*\* Publication Date



FOREIGN PATENT DOCUMENTS

<u>COUNTRY</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
Europe	200508	10/02/91
Europe	273069	10/14/92
Europe	381194	08/31/94

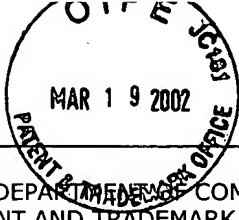
The above-referenced documents are listed on Form PTO-1449. We have enclosed the cited documents to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Daniel A. Scola, Jr.  
Registration No.: 29,855  
Attorney for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550



FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 1199-4	SERIAL NO. 10/074,272
		APPLICANT R. K. Yang et al.	CONFIRMATION NO. unknown
		FILING DATE January 22, 2002	GROUP unknown

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	3,753,732	08/21/73	Boroshok			
	4,029,758	06/14/77	Mlodozieniec et al.			
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	5,948,430	09/07/99	Zerbe et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

FORM PTO-1449  
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
1199-4SERIAL NO.  
10/074,272INFORMATION DISCLOSURE  
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	EP 200508	10/02/91	EPO				
	EP 273069	10/14/92	EPO				
	EP 381194	08/31/94	EPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

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